



JUN 29 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

#3  
T.D.  
9/17/01

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,729	03/13/2001	Kannan Srinivasan	053931-5001

## CONFIRMATION NO. 2030

009629  
MORGAN, LEWIS & BOCKIUS  
1800 M STREET NW  
WASHINGTON, DC 20036-5869

## FORMALITIES LETTER



\*OC000000006018617\*

Date Mailed: 04/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/05/2001 JADDO1 00000031 09804729

01 FC:205 65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

*for review*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

# 3  
T.D.

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Small Entity)</b>	Docket No. 01-168
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In Re Application Of: KANNAN SRINIVASAN and MICHAEL I. SHAMOS

JUN 29 2001

9/17/01

Serial No. 09/804,729	Filing Date 03/13/01	Examiner unassigned	Group Art Unit unassigned
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Invention: METHOD AND SYSTEM FOR DYNAMIC PRICING

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
April 30, 2001  
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- verified small entity declaration(s)
  - is/are attached.
  - was/were filed on March 13, 2001
- A separate request for refund.
- Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
01-168

**In Re Application Of: KANNAN SRINIVASAN and MICHAEL I. SHAMOS**

Serial No.	Filing Date	Examiner	Group Art Unit
09/804,729	03/13/01	unassigned	unassigned

## Invention: METHOD AND SYSTEM FOR DYNAMIC PRICING

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

Completion of application fees as calculated below:

Utility application filing fee

Design application filing fee

Total number of independent claims =

Total number of claims =

Multiple dependent claims

Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

Petition and fee for filing by other than all the inventors or a person not the inventor

Fee for processing an application filed with a non-English language specification

Fee for processing and retention of application

Total completion of application fees \$65.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from:

until:

Date

\$0.00

Total time extension fees \$0.00

### Total time extension fees

**\$65.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**01-168**

In Re Application Of: **KANNAN SRINIVASAN and MICHAEL I. SHAMOS**

Serial No.  
**09/804,729**

Filing Date  
**03/13/01**

Examiner  
**unassigned**

Group Art Unit  
**unassigned**

Invention: **METHOD AND SYSTEM FOR DYNAMIC PRICING**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

The fee of **\$65.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **03-2026**  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **03-2026**  
A duplicate copy of this sheet is enclosed.



*Signature*

Dated: **June 29, 2001**

**Christine L. Wettach  
Reg. No. 41,373  
Cohen & Grigsby, P.C.  
11 Stanwix Street  
15th Floor  
Pittsburgh, Pennsylvania 15222  
(412) 297-4900**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

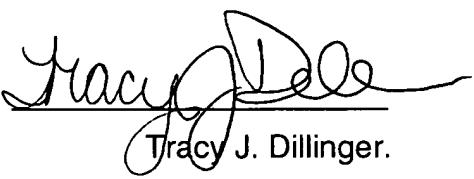
**SEE EXPRESS MAIL**

**Typed or Printed Name of Person Mailing Correspondence**

cc:

07-02-01

Sector

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) <i>JUN 29 2001</i>		Docket No. 01-168
Applicant(s) KANNAPAL Srinivasan and MICHAEL I. SHAMOS		
Serial No.. 09,804,729	Filing Date 6/29/01	Patent No. Unassigned
METHOD AND SYSTEM FOR DYNAMIC PRICING		
I hereby certify that the following documents:  1 Response to Notice to File Missing Parts of Application Filing Date Granted (PTO-1533) Small Entity (in duplicate)  1 Declaration and Power of Attorney for Patent Application 1 Notice to File Missing Parts of Nonprovisional Application 1 Drawings (5 sheets) 1 Check for \$65.00 1 ACKNOWLEDGEMENT POSTCARD  are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:  Assistant Commissioner for Patents, Washington, D.C. 20231-0001 Attn: Box Missing Parts  EXPRESS MAIL LABEL NO.: <u>EL637590205US</u>  Dated: June 29, 2001   Tracy J. Dillinger.  <b>EL637590205US</b>		